



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Kevin P. BAKER, et al.

Application Serial No. 10/006,116

Filed: December 6, 2001

For: **SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME**

) Examiner: Vogel, Nancy S.  
 )  
 ) Art Unit: 1636  
 )  
 ) Confirmation No: 8110  
 )  
 ) Attorney's Docket No. 39780-2830 P1C15  
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 ) Customer No. 35489  
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DATE MAILED: November 28, 2006

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP RCE**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with M.P.E.P. §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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- This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --  
 (2) It is being filed within 3 months of entry of a national stage  
-- OR --  
 (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --  
 (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- a certification as specified in §1.97(e) is provided below; or
- a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Attorney's Docket No. 39780-2830 P1C15).

Respectfully submitted,

Dated: November 28, 2006

By: B. R.  
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Form PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT</b>				Attorney's Docket No. 39780-2830 P1C15	Application Serial No. 10/006,116		
				Applicant(s)	Kevin P. BAKER, et al.		
(use several sheets if necessary)				Filing Date: December 6, 2001	Group Art Unit: 1636		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation YES      NO
<b>OTHER DOCUMENTS</b> (including author, title, date, pertinent pages, etc.)							
Examiner Initials	Ref. No.	Title					
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	3.	Aust, G., et al., "Human Thyroid Carcinoma Cell Lines and Normal Thyrocytes: Expression and Regulation of Matrix Metalloproteinase Inhibitor-1 Messenger-RNA and Protein," <i>Thyroid</i> - 7(5):713-24 (1997) Abstract.					
	4.	Barnes, V.L., et al., "Expression of Embryonic Fibronectin Isoform EIIIA Parallels Alpha-Smooth Muscle Actin in Maturing and Diseased Kidney," <i>J Histochem Cytochem.</i> - 47(6):787-98 (1999) Abstract.					
	5.	Bea, S., et al., "BMI-1 Gene Amplification and Overexpression in Hematological Malignancies Occur Mainly in Mantle Cell Lymphomas," <i>Cancer Res.</i> - 61(6):2409-12 (2001) Abstract.					
	6.	Blaschke, V., et al., "Rapid Quantitation of Proinflammatory and Chemoattractant Cytokine Expression in Small Tissue Samples and Monocyte-Derived Dendritic Cells: Validation of a New Real-Time RT-PCR Technology," <i>J Immunol Methods</i> . - 246(1-2):79-90 (2000) Abstract.					
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	8.	Caberlotto, L. et al., "Alterations in Neuropeptide Y Levels and Y1 Binding Sites in the Flinders Sensitive Line Rats, A Genetic Animal Model of Depression," <i>Neurosci Lett.</i> - 265(3):191-4 (1999) Abstract.					
	9.	Caberlotto, L., et al., "Neurokinin 1 Receptor and Relative Abundance of the Short and Long Isoforms in the Human Brain," <i>Eur J Neurosci.</i> - 17(9):1736-46 (2003) Abstract.					
	10.	Choi, D., et al., "Characterization of Cyclin D2 Expression in Human Endometrium," <i>J Soc Gynecol Investig.</i> - 9(1):41-6 (2002) Abstract.					
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	13.	De Boer, C.J., et al., "Involement of the CCND1 Gene in Hairy Cell Leukemia," <i>Ann Oncol.</i> - 7(3):251-6 (1996) Abstract.					
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	15.	Dong, Z., et al., "Expression of Membrane-Type Matrix Metalloproteinases 4, 5, and 6 in Mouse Corneas Infected with P. Aeruginosa," <i>Invest Ophthalmol Vis Sci</i> - 42(13):3223- (2001) Abstract.					

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OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)			
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17.	Dyer, J., et al., "Molecular Characterisation of Carbohydrate Digestion and Absorption in Equine Small Intestine," <i>Equine Vet J.</i> - 34(4):349-58 (2002) Abstract.		
18.	Egwuagu, C.E., et al., "Suppressors of Cytokine Signaling Proteins are Differentially Expressed in Th1 and Th2 Cells: Implications for Th Cell Lineage Commitment and Maintenance," <i>J Immunol.</i> - 168(7):3181-7 (2002) Abstract.		
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23.	Fu, K., et al., "Cyclin D1-Negative Mantle Cell Lymphoma: A Clinopathologic Study Based on Gene Expression Profiling," <i>Blood</i> - 106(13):4315-21 (2005) Abstract.		
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25.	Furuta, J., et al., "Silencing of the Thrombomodulin Gene in Human Malignant Melanoma," <i>Melanoma Res.</i> - 15(1):15-20 (2005) Abstract.		
26.	Futcher, B., et al., "A Sampling of the Yeast Proteome," <i>Mol Cell Biol.</i> - 19(11):7357-68 (1999) Abstract.		
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34.	Grenback, E., et al., "Galanin Pituitary Adenomas," <i>Regul Pept.</i> - 117(2):127-39 (2004) Abstract.		
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36.	Guo, Y., et al., "The Pathogenic Role of Macrophage Migration Inhibitory Factor in Acute Respiratory Distress Syndrome," <i>Zhinghua Jie He He Hu Xi Za Zhi</i> - 25(6):337-40 (2002) Abstract.		

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	37.	Habu, Y., et al., "Restored Expression and Activity of Organic Ion Transporters rOAT1, rOAT3 and rOCT2 after Hyperuricemia in the Rat Kidney," <i>Biochem Pharmacol.</i> - 69(6):993-9 (2005) Abstract.	
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	39.	Hahnel, R., et al., "Expression of the pS2 Gene in Breast Tissues Assessed by pS2-mRNA Analysis and pS2-Protein Radioimmunoassay," <i>Breast Cancer Res Treat.</i> - 24(1):71-4 (1992) Abstract.	
	40.	Hamilton, L.M., et al., "The role of the Epidermal Growth Factor Receptor in Sustaining Neutrophil Inflammation in Severe Asthma," <i>Clin Exp Allergy.</i> - 33(2):233-40 (2003) Abstract.	
	41.	Hassett, C., et al., "Human Hepatic Microsomal Epoxide Hydrolase: Comparative Analysis of Polymorphic Expression," <i>Arch Biochem Biophys.</i> - 337(2):275-83 (1997) Abstract.	
	42.	Holten-Andersen, M.N., et al., "Localization of Tissue Inhibitor of Metalloproteinases 1 (TIMP-1) in Human Colorectal Adenoma and Adenocarcinoma," <i>Int J Cancer.</i> - 113(2):198-206 (2005) Abstract.	
	43.	Huang, Y.H., et al., "Tissue Plasminogen Activator Induced by Dengue Virus Infection of Human Endothelial Cells," <i>J Med Virol.</i> - 70(4):610-6 (2003) Abstract.	
	44.	Huettner, P.C., et al., "Neu Oncogene Expression in Ovarian Tumors: A Quantitative Study," <i>Mod Pathol.</i> - 5(3):250-6 (1992) Abstract.	
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	46.	Husain, I., et al., "Elevation of Topoisomerase I Messenger RNA, Protein, and Catalytic Activity in Human Tumors: Demonstration of Tumor-Type Specificity and Implications for Cancer Chemotherapy," <i>Cancer Res.</i> - 54(2):539-46 (1994) Abstract.	
	47.	Ihmann, T., et al., "High-level mRNA Quantification of Proliferation Marker pKi-67 is Correlated with Favorable Prognosis in Colorectal Carcinoma," <i>J Cancer Res Clin Oncol.</i> - 130(12):749-56 (2004) Abstract.	
	48.	Ikegami, T., et al., "Modulation of Glucagon Receptor Expression and Response in Transfected Human Embryonic Kidney Cells," <i>Am J Physiol Cell Physiol.</i> - 281(4):C1396-402 (2001) Abstract.	
	49.	Jacquemin, E., et al., "Developmental Regulation of Acidic Fibroblast Growth Factor (aFGF) Expression in Bovine Retina," <i>Int J Biol.</i> - 37(3):417-23 (1993) Abstract.	
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	51.	Janssens, N., et al., "Alteration of Frizzled Expression in renal Cell Carcinoma," <i>Tumour Biol.</i> - 25(4):161-71 (2004) Abstract.	
	52.	Jungbluth, A.A., et al., "Immunohistochemical Analysis of NY-ESO-1 Antigen Expression in Normal and Malignant Human Tissues," <i>Int J. Cancer.</i> - 92(6):856-60 (2001) Abstract.	
	53.	Kalabis, G.M., et al., "Multidrug Resistance Phosphoglycoprotein (ABCB1) in the Mouse Placenta: Fetal Protection," <i>Biol Reprod.</i> - 73(4):591-7 (2005) Abstract.	
	54.	Kammaori, M., et al., "Expression of Human Telomerase Reverse Transcriptase Gene and Protein, and of Estrogen and Progesterone Receptors, in Breast Tumors: Preliminary Data from Neo-Adjuvant Chemotherapy," <i>Int J Oncol.</i> - 27(5):1257-63 (2005) Abstract.	
	55.	Khal, J., et al., "Expression of the Ubiquitin-Proteasome Pathway and Muscle Loss in Experimental Cancer Cachexia," <i>Br J Cancer.</i> - 93(7):774-80 (2005), Abstract.	
	56.	Khal, J., et al., "Increased Expression of Proteasome Subunits in Skeletal Muscle of Cancer Patients with Weight Loss," <i>Int J. Biochem Cell Biol.</i> - 37(10):2196-206 (2005) Abstract.	

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57.	Kogo, H., et al., "Cell Type-Specific Occurrence of Caveolin-1alpha and -1beta in the Lung caused by Expression of Distinct mRNAs," <i>J Biol Chem.</i> - 279(24):25574-81 (2004) Abstract.		
58.	Kommooss, F., et al., "Oncogene and Growth Factor Expression in Ovarian Cancer," <i>Acta Obstet Gynecol Scand Suppl.</i> - 155:19-24 (1992) Abstract.		
59.	Kumar, U., et al., "Somatostatin Receptors in Primary Human Breast Cancer: Quantitative Analysis of mRNA for Subtypes 1-5 and Correlation with Receptor Protein Expression and Tumor Pathology," <i>Breast Cancer Res. Treat.</i> - 92(2):175-86 (2005) Abstract.		
60.	Kuo, C.C., et al., "A Transcriptomic and Proteomic Analysis of the Effect of CpG-ODN on Human THP-1 Monocytic Leukemia Cells," <i>Proteomics</i> - 5(4):894-906 (2005) Abstract.		
61.	Landmark, B.F., et al., "Cellular Location and Age-dependent Changes of the regulatory Subunits of cAMP-dependent Protein Kinase in Rat Testis," <i>J Reprod Fertil.</i> - 99(2):323-34 (1993) Abstract.		
62.	Lassmann, S., et al., "Quantification of CK20 Gene and Protein Expression in Colorectal Cancer by RT-PCR and Immunohistochemistry Reveals Inter- and Intratumour Heterogeneity," <i>J Pathol.</i> - 198(2):198-206 (2002) Abstract.		
63.	Legrand, O., et al., "Expression of the Multidrug Resistance-Associated Protein (MRP) mRNA and Protein in Normal Peripheral Blood and Bone Marrow Haemopoietic Cells," <i>Br J. Haematol.</i> - 94(1):23-33 (1996) Abstract.		
64.	Lemstrom, K.B., et al., "Vascular Endothelial Growth Factor Enhances Cardiac Allograft Arteriosclerosis," <i>Circulation</i> - 105(21):2524-30 (2002) Abstract.		
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66.	Li, Y., et al., "Retinal Preconditioning and the Induction of Heat-Shock Protein 27," <i>Invest Ophthalmol Vis Sci.</i> - 44(3):1299-304 (2003) Abstract.		
67.	Lindberg, P., et al., "Increasing Expression of Tissue Plasminogen Activator and Plasminogen Activator Inhibitor Type 2 in Dog Gingival Tissues with Progressive Inflammation," <i>Arch Oral Biol.</i> - 46(1):23-31 (2001) Abstract.		
68.	Macabeo-Ong, M., et al., "Effect of Duration of Fixation on Quantitative Reverse Transcription Polymerase Chain Reaction Analyses," <i>15(9):979-87 (2002) Abstract.</i>		
69.	Maruyama, H., et al., "Id-1 and Id-2 are Overexpressed in Pancreatic Cancer and in Dysplastic Lesions in Chronic Pancreatitis," <i>Am J Pathol.</i> - 155(3):815-22 (1999) Abstract.		
70.	Meehan, T.P., et al., "Tightly Regulated and Inducible Expression of a Yoked Hormone-Receptor Complex in HEK 293 Cells," <i>J Mol Endocrinol.</i> - 32(1):247-55 (2004) Abstract.		
71.	Mendoza-Rodriguez, C.A., et al., "C-fos and Estrogen Receptor Gene Expression Pattern in the Rat Uterine Epithelium During the Estrous Cycle," <i>Mol Reprod Dev.</i> 64(4):379-88 (2003) Abstract.		
72.	Meoni, P., et al., "[ <sup>3</sup> H]MK-801 Binding and the mRNA for the NMDARI Subunit of the NMDA Receptor are Differentially Distributed in Human and Rat Forebrain," <i>Brain Res Mol Res.</i> - 54(1):13-23 (1998) Abstract.		
73.	Mezzano, S.A., et al., "Overexpression of Chemokines, Fibrogenic Cytokines, and Myofibroblasts in Human Membranous Nephropathy," <i>Kiney Int.</i> - 57(1):147-58 (2000) Abstract.		
74.	Mingrone, G., et al., "Decreased Uncoupling Protein Expression and Intramyocytic Triglyceride Depletion in Formerly Obese Subjects," <i>Obes Res.</i> - 11(5):632-40 (2003) Abstract.		
75.	Miralles, C.P., et al., "Differential Expression of the Short and Long Forms of the Gamma 2 Subunit of the GABAA/benzodiazepine Receptors," <i>Brain Res Mol Res.</i> - 24(1-4):129-39 (1994) Abstract.		
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78.	Montuori, N., et al., "Urokinase-Mediated Posttranscriptional Regulation of Urokinase-Receptor Expression in Non Small Cell Lung Carcinoma," <i>Int J Cancer</i> - 105(3):353-60 (2003) Abstract.		
79.	Munaut , C.,et al., "Vascular Endothelial Growth Factor Expression Correlates with Matrix Metalloproteinases MT1-MMP, MMP-2 and MMP-9 in Human Glioblastomas," <i>Int J Cancer</i> - 106(6):848-55 (2003) Abstract.		
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81.	Nuciforo, P.G., et al., "Molecular and Immunohistochemical Analysis of HER2/neu Oncogene in Synovial Sarcoma," <i>Hum Pathol.</i> - 34(7):639-45 (2003) Abstract.		
82.	Oberringer, M., et al., "Differential Expression of Heat Shock Protein 70 in Well Healing and Chronic Human Wound Tissue," <i>Biochem Biophys Res Commun.</i> - 214(3):1009-14 (1995) Abstract.		
83.	Orntoft, T.F., et al., "Genome-wide Study of Gene Copy Numbers, Transcripts, and Proteins Levels in Pairs of Non-invasive and Invasive Human Transitional Cell Carcinomas," <i>Mol Cell Proteomics.</i> - 1(1):37-45 (2002) Abstract.		
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<b>OTHER DOCUMENTS</b> <i>(including author, title, date, pertinent pages, etc.)</i>			
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